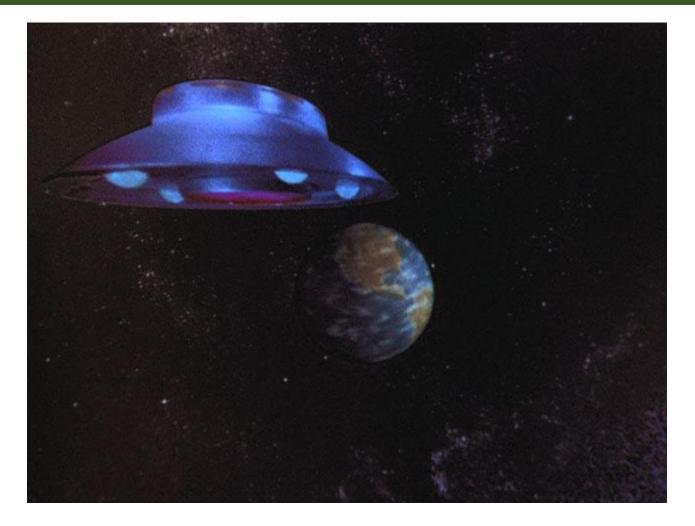
Back to Basics The Three-Way Comparison Operator (The Spaceship Operator <=>)



Daniel Hanson, NWCPP 17 April 2025

Overview

- C++20 brought us the three-way, or "spaceship", operator <=>
- Not too long ago, it was necessary to declare and implement:

bool operator	<pre>==(const Blah& rhs) const;</pre>
bool operator	<pre>!=(const Blah& rhs) const;</pre>
bool operator	<(const Blah& rhs) const;
bool operator	<pre>>(const Blah& rhs) const;</pre>
bool operator	<=(const Blah& rhs) const;
bool operator	<pre>>=(const Blah& rhs) const;</pre>

• These can now be replaced with a single operator⁺

ordering_type operator <=>(const Blah& rhs) const;

Overview

- Also with C++20, defining the equality operator now automatically implies the != operator
- If you define equality:

bool operator ==(const Blah& rhs) const;

• You also get

bool operator !=(const Blah& rhs) const;

for free

+ There may also be cases where you will want a separate
 operator == defined, in addition to operator <=>

Why "the Spaceship" Operator?

• <=> kind of looks like a flying saucer



Why "the Spaceship" Operator?

• Some rejected proposals:

>=<

>=>

>===|=====0

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Typical Textbook Example

```
export module Point;
import <iostream>;
export class Point
{
```

public:

```
Point(int x, int y, int z) : x_{x}, y_{y}, z_{z} {}
auto operator <=>(const Point& rhs) const = default;
friend std::ostream& operator<<(std::ostream& os, const Point& p);</pre>
```

private:

```
int x_, y_, z_;
};
```

```
export std::ostream& operator<<(std::ostream& os, const Point& p)
{
    return os << "(" << p.x_ << ", " << p.y_ << ", " << p.z_ << ") ";
}</pre>
```

Typical Textbook Example

• The default is a lexicographical comparison...

- End of textbook example
- Move on to the next chapter on unique pointers or something...

Something a Little More Illustrative

- A Fraction class
- Assume for simplicity
 - Numerator and denominator are non-negative integers
 - Denominator is never zero
 - Fraction is simplified at construction

```
export class Fraction
public:
    Fraction(int n, int d) :n_{n}, d_{d}
    {
        // Assume numerator non-negative and numerator strictly positive:
        assert(!(n < 0) && !(d <= 0));</pre>
        // Simplify at construction...
    }
    // Old Way:
    bool operator ==(const Fraction& rhs) const;
    bool operator !=(const Fraction& rhs) const;
    bool operator <(const Fraction& rhs) const;</pre>
    bool operator >(const Fraction& rhs) const;
    bool operator <=(const Fraction& rhs) const;</pre>
    bool operator >=(const Fraction& rhs) const;
    // ...
};
```

Default form of <=>

Proceed naively using the (textbook) default:

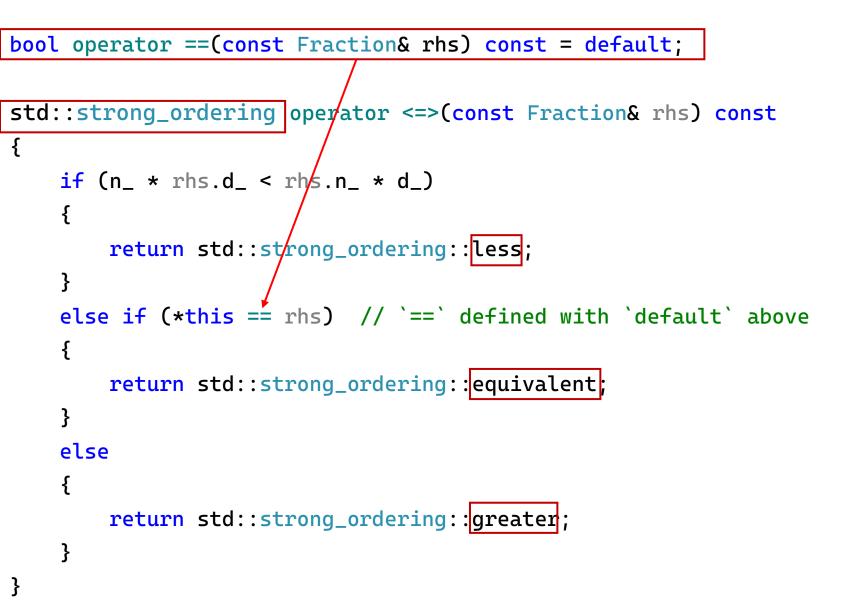
```
export class Fraction
{
public:
    Fraction(int n, int d) :n_{n}, d_{d}
    {
       // . . .
    }
    // Proceed naively:
    bool operator <=>(const Fraction& rhs) const = default;
    friend std::ostream& operator<<(std::ostream& os, const Fraction& p);</pre>
    // . . .
};
Fraction frac_01{1, 2}, frac_02{1, 5};
cout << frac_01 << "< " << frac_02 << "? " << (frac_01 < frac_02) << "\n";</pre>
```

1/2 < 1/5 ? true

• This is obviously wrong

Fraction Class: Implement <=>

Replace the six comparison operators with two:



Fraction Class: Implement <=>

• Now, repeat the same check:

Fraction frac_01{1, 2}, frac_02{1, 5}; cout << frac_01 << "< " << frac_02 << "? " << (frac_01 < frac_02) << "\n";</pre>

1/2 < 1/5 ? false

• Now we're cooking with dilithium crystals...



Ordered return values: less, greater, equivalent

auto comp = x <=> y

Possible return values for comp (compare) are:

```
std::strong_ordering::greater (x > y)
```

```
std::strong_ordering::less (x < y)</pre>
```

std::strong_ordering::equivalent (x == y)

less, greater, equivalent and Ordering Type:

• These can be illustrated in this simple example:

auto compare_type = [](const Fraction& frac_lhs, const Fraction& frac_rhs,

```
const std::strong_ordering& comp) {
        cout << "Comparison result: " << frac lhs << " <=> " << frac rhs << "\n";</pre>
        if (comp == std::strong_ordering::less) {
            cout << "strong ordering::less" << "\n";</pre>
        }
        else if (comp == std::strong ordering::greater) {
            cout << "strong ordering::greater" << "\n";</pre>
        }
        else {
            cout << "strong_ordering::equivalent" << "\n";</pre>
        }
 };
auto comp = frac_01 <=> frac_02; // 1/2, 1/5
                                                           Type of comp: struct std::strong ordering
cout << "Type of comp: " << typeid(comp).name() << "\n";</pre>
                                                           Comparison result: 1/2 <=> 1/5
compare type(frac 01, frac 02, comp);
                                                           strong_ordering::greater
                                                           Comparison result: 1/5 <=> 1/2
comp = frac 02 \iff frac 01;
                                                           strong_ordering::less
compare type(frac 02, frac 01, comp);
                                                           Comparison result: 1/2 <=> 1/2
Fraction frac 03\{1, 2\};
                                                           strong ordering::equivalent
comp = frac 01 <=> frac 03;
compare_type(frac_01, frac_03, comp);
```

Three ordering types can be returned from the <=> operator

std::strong_ordering

- Use case: exact comparison, such as with integer types (no rounding, NaN's...)
- Return values are greater, less, and equivalent
- Exactly one of a < b, a == b, or a > b must be true

std::partial_ordering

- Return values also include greater, less, and equivalent
- Use case: with floating point types such as **double** and **float**
- A floating type can
 - Have rounding error
 - hold non-comparable assignments such as infinity and NaN
- Exactly one of a < b, a == b, or a > b must be true
- <=> returns std::partial_ordering::unordered if both variables are NaN

std::weak_ordering

- Possible for a < b, a == b, and a > b to all be false
- Can (purportedly) be used for cases such as comparing case-insensitive strings
- However, the default return type for std::string comparisons is std::strong_ordering

Ordering Types: Default Examples

```
int m = 0, n = 1;
double x = 1.06, y = 8.74;
std::string bass = "Rickenbacker", BASS = "RICKENBACKER";
```

```
auto int_compare = m <=> n;
```

auto real_compare = x <=> y;

```
auto str_compare = bass <=> BASS;
```

cout << format("int compare type: {}\nfloating compare type: {}\nstring compare type: {}\n",
typeid(int_compare).name(), typeid(real_compare).name(), typeid(str_compare).name());</pre>

int compare type: struct std::strong_ordering
floating compare type: struct std::partial_ordering
string compare type: struct std::strong_ordering

Ordering Values: equal vs equivalent

There is also an equal return value

std::strong_ordering::equal

- Identical to std::strong_ordering::equivalent
- Means two values have exactly the same bitwise representation
- Perfectly valid for integer values (e.g., in our Fraction class)

std::partial_ordering::equal

- Again, means two values have exactly the same bitwise representation
- Becomes meaningless with roundoff error, NaN's, etc
- Also identical to std::partial_ordering::equivalent
- But, it indicates the intent...
 - > Floating type values should never be tested for exact equality
 - Valid floating type values can be defined to be equivalent within a given tolerance (as will be seen shortly)

Personal preference: Always use **equivalent**, even with **strong_ordering**, to avoid possible confusion

An Example from Financial Equity Trading

- A limit order for buying or selling shares of a stock
 - An order to buy or sell a desired number of shares at a specified limit price or better
 - Ensures execution will not be at price worse than the pre-specified price

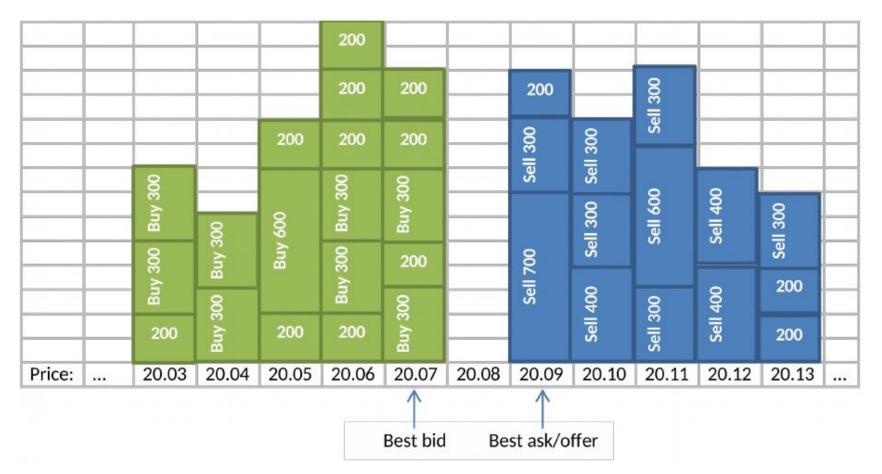
> Buy order will not be executed above the limit price

> Sell order will not be executed below the limit price

• Limit orders are placed in an *order book* on an exchange

An Order Book

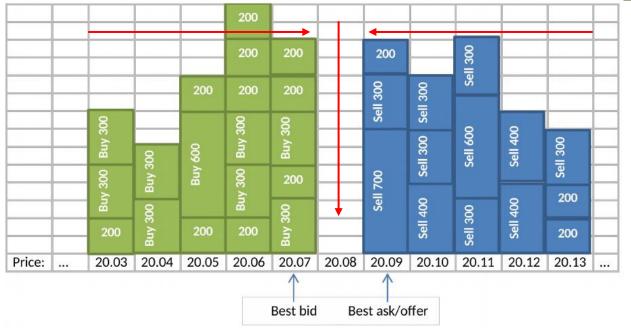
• An example of an order book consists of limit orders as shown[†]:



• Orders are queued by priority (to be discussed next)

⁺Doug Service, 2016

Queuing Priority (a Simplified Example)



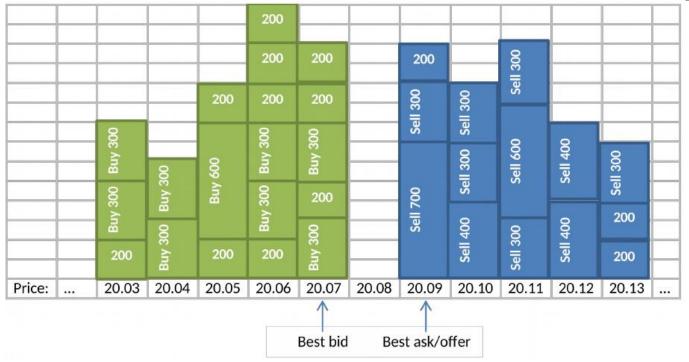
- Given two limit orders *a* and *b*, assume that both are of like type
 - Buy (bid) or
 - Sell (offer)
- An order to <u>buy</u>, *a*, will have priority over *b*, if
 - The limit price of a is greater than that of b
 - If equal, then if *a* is a round lot (multiple of 100 shares) and *b* is not
 - If the same, then if order a has an earlier timestamp, it has priority
- Order a Buy 200 @ 20.07
 Order b Buy 200 @ 20.04

 t
 Order a Buy 200 @ 20.07
 Order b Buy 125 @ 20.07

 Order a Buy 200 @ 20.07
 Order b Buy 200 @ 20.07
 - Otherwise (to be considered unlikely in this example), the two are equivalent
 - Similar for an order to sell, except the limit value of *a* is less than that of *b*

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Queuing Priority (a Simplified Example)



- Instead of *a* < *b* and *b* > *a* meaning less than or greater than,
- We will take them to indicate priority
- *b* < a => *a* is has priority in the order book over *b*

• Start with an enum class indicating a buy or sell limit order:

module;

```
#include <cassert>
```

```
export module LimitOrder;
import std;
```

```
export enum class Buy_or_Sell
{
    Buy,
    Sell
}
```

• For private data members, we will have:

```
export class LimitOrder
{
   // . . .
private:
   Buy_or_Sell buy_or_sell_;
    double price_;
                                  // Bid (buy) or offer (sell) price
    int num_shares_;
    std::chrono::time_point<std::chrono::system_clock> time_stamp_;
    inline static const double epsilon_ =
        std::sqrt(std::numeric_limits<double>::epsilon());
    inline static int trade_id_ = 0; // Will be incremented
   // . . .
```

- Use a **std::chrono::time_point** for the time stamp (simple approach)
- The epsilon value follows the Boost convention for comparing two real (double) values

• At construction:

public:

```
LimitOrder(Buy_or_Sell buy_or_sell, double price, int num_shares,
    std::chrono::time_point<std::chrono::system_clock> time_stamp) :
    buy_or_sell_{buy_or_sell}, price_{price}, num_shares_{num_shares},
    time_stamp_{time_stamp}
```

```
{
```

}

```
assert(num_shares > 0);
assert(price > 0.0);
++trade_id_;
```

- The members are initialized
- Enforce the constraint that the number of shares and bid/offer price are > 0
- Increment the trade ID (also simplified integer value)

Implementation of <=> Step 1

```
std::partial_ordering operator <=>(const LimitOrder& rhs) const
```

```
{
                                                        Make sure both trades are in the same
                                                        direction (buy or sell)
    assert(this->buy_or_sell_ == rhs.buy_or_sell_);
    // First: Compare prices first, but flip depending on Buy or Sell
    std::partial_ordering cmp_price = (buy_or_sell_ == Buy_or_Sell::Buy)
        ? (this->price_ <=> rhs.price_) // Case: Bid order (higher price wins)
        : (rhs.price <=> this->price ); // Case: Sell order (lower price wins)
    // Check if the absolute difference between the prices is within epsilon;
    // if not, return the result of the <=> operator for the two order prices
   if (std::abs(this->price_ - rhs.price_) > epsilon_)
    {
                                 Use <=> default as applied to double types; for example:
        return cmp_price;
                                 If an order to buy, this->price < rhs.price => this < rhs in priority
                                 If an order to sell, rhs.price_ < this->price => this < rhs in priority
    }
                                 Returns std::partial ordering::less in each case
   // More to follow . . .
                              Make sure the difference between the two limit prices is greater than the
}
                              tolerance defining equivalence
                              Return either partial ordering::less or partial ordering::greater
```

Implementation of <=> Step 2

```
std::partial_ordering operator <=>(const LimitOrder& rhs) const
{
    // Continued from previous slide . . .
    // Second: Prefer round lots (multiples of 100 shares)
    bool this_round_lot = (this->num_shares_ % 100) == 0;
                                                              Check each order, whether it is a round
                                                              lot of 100 shares or not
    bool rhs round lot = (rhs.num shares % 100) == 0;
   if (this round lot != rhs round lot)
    {
        return this round lot ? std::partial ordering::greater : std::partial ordering::less;
    }
   // More to follow . . .
```

Only in the case where one is a round order and the other is not, return a result, greater if *this is a round order, less if rhs is.

}

Implementation of <=> Step 3 (last)

std::partial_ordering operator <=>(const LimitOrder& rhs) const

// Continued from previous slide . . .

// Third (and final comparison criterion): Earlier timestamp takes priority,

// and hence is "greater", i.e., higher in priority

if (this->time_stamp_ < rhs.time_stamp_) return std::partial_ordering::greater;</pre>

else if (this->time_stamp_ > rhs.time_stamp_) return std::partial_ordering::less;

// Assume for this example almost surely not to occur . . .

else return std::partial_ordering::equivalent;

// Also the overall default

This is another case where a lower value (earlier timestep) implies an object is "greater", and vice versa

In this simple example, we're assuming this default case will almost surely not occur, but return equivalent if it is reached

{

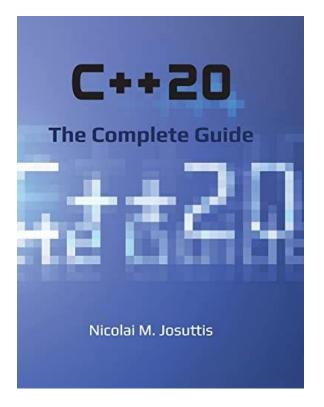
}

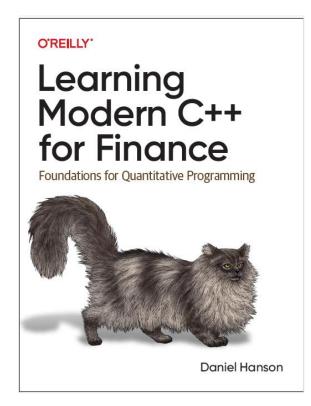
Summary

- The <=> operator allows us to incorporate all six comparison operators into a single operator implementation
- There can also be cases where a separate == operator definition is desired (as we saw in the Fraction class)
 - Can use as the condition for std::xxxx_ordering::equivalent in <=>
 - Note this also gives you **!=** for free (no need to define separately)
 - There is also a default option for the == operator
- A default option is also available for <=>
 - Lexicographic comparison of data members on two objects
 - Will not always suffice
 - Coverage in textbooks and references is often limited to this case

References

- Nicolai Josuttis, C++20: The Complete Guide (LeanPub), Ch 1
- Hanson, Learning Modern C++ for Finance (O'Reilly, November 2024), Ch 2 (shameless plug)





• cppreference.com

Contact/Questions

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• Thank You!

• Questions?

